PIMCO

Application | Trusts & Companies

Wholesale

Client Services contact details

Phone:
1300 113 547

International:
+61 2 8823 2583

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+61 2 9151 4096

Email:
investorservices@au.pimco.com

WHO SHOULD COMPLETE THIS FORM?

This application form is for domestic and foreign companies investing on their own behalf, and individuals, domestic and foreign companies investing in their capacity as trustee of a trust.

If you are a self-managed super fund (SMSF) please use the stand alone application form for SMSF.

HOW TO COMPLETE THIS FORM

Step 1

Before submitting this form, please read the Product Disclosure Statement (PDS) available here www.pimco.com.au/en-au/resources/explorer or if you are unable to access the link or print the document, please contact us.

Units in a fund will only be issued following acceptance of an application form issued with the relevant PDS, with all required documents attached.

Please print, use black pen and write in BLOCK letters. If you make an error do not use correction fluid, instead, cross out your error and initial your changes.

Note: Please ensure all fields are completed.

Step 2

For identification and verification purposes please complete the following sections in accordance with your investment type.

If you are:

- a regulated trust, complete **sections 1, 2** and **3** (where applicable)
- a regulated custodian, complete **sections 1, 2** and **3**
- an unregulated trust, complete **sections 1, 2, 3** (where applicable) and **4**
- a company acting on your own behalf, please complete **section 3** and **4** (where applicable)
- if you are investing under a margin loan please complete **1.4.3** and proceed
- all investors must complete **section 12**. Review the instructions in **sections 1** to **4** to determine if you need to complete those sections.

Step 3

Tell us your foreign tax status

Please complete the **Global Tax Reporting (FATCA/CRS) information** in **section 12**.

Step 4

Sign and send your documents to our Administrator

Please ensure you sign **section 14** of the form in accordance with the instructions provided.

You can return your forms by post to:

PIMCO Investor Services
GPO Box 804
Melbourne VIC 3001, Australia

Step 5

Make your payment

Please refer to **section 7** of the application form and follow the instructions on how to pay the application amount.

Your application cannot be processed until all relevant identification documents and cleared funds are received.

IMPORTANT INFORMATION

- Please ensure all fields are completed. If you do not complete all relevant sections your application may be rejected and returned to you for completion, resulting in a delay in processing your application.
- If you have any questions as you complete this form, please refer to the FAQs of this form, or contact us on 1300 113 547.

Fund name	APIR
PIMCO Australian Bond Fund – Wholesale Class	ETL0015AU
PIMCO Australian Short-Term Bond Fund – Wholesale Class	ETL0182AU
PIMCO Capital Securities Fund – Wholesale Class	ETL0445AU
PIMCO Diversified Fixed Interest Fund – Wholesale Class	ETL0016AU
PIMCO Dynamic Bond Fund – Wholesale Class	ETL0404AU
PIMCO ESG Global Bond Fund - Wholesale Class	PIC6396AU
PIMCO Global Bond Fund – Wholesale Class	ETL0018AU
PIMCO Global Credit Fund – Wholesale Class	ETL0019AU
PIMCO Income Fund – Wholesale Class	ETL0458AU
PIMCO TRENDS Managed Futures Strategy Fund – Wholesale Class	PIC9659AU

INVESTOR DETAILS

What is the full legal name of the entity that will hold title to the units?

Full name of account designation

If you are an existing investor, please provide your account number

I/We confirm there are no changes to our identification documents previously provided and that these remain current and valid.

I/We confirm there are no changes to the information in our previous application provided and that it remains current and valid.

1. TRUST

Please complete this section if you are:

- An individual acting in your capacity as trustee of a trust, or
- A company acting in your capacity as a trustee of a trust.

For guidance about when you may be acting as a trustee and for whom, please refer to the FAQs at the back of this form.

1.1 Trust details

Full name of trust. If the trust for which you act as a trustee does not have a name, please insert N/A

Type of trust

Business name (if any) of the trustee of the trust

Country in which the trust was established

Nature of business

1.2 Type of trust

1.2.1 Custodian

No - go to **1.2.2**.

Yes - please complete the questions below.

		No	Yes
a.	Do you provide a custodial or depository service of the kind described in item 46 of table 1 in subsection 6(2)** of the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (the AML/CTF Act) (ie to the underlying investor not your related body corporate)		
b.	Do you hold an AFSL or are you exempt from the requirement to hold such a licence? If **Yes**, AFSL Number or specify the grounds for exemption		
c.	Are you enrolled as a reporting entity with AUSTRAC, or do you satisfy one of the 'geographical link' tests in subsection 6(6) of the AML/CTF Act		

d.	Have you carried out all applicable customer identification procedures (ACIP) and ongoing customer due diligence (OCDD) requirements in accordance with chapter 15 of the Anti-Money Laundering and Counter-Terrorism Financing Rules Instrument 2007 (No. 1) (the AML/CTF Rules) in relation to your underlying customers? (including where you have relied on a member of your designated business group or an Authorised Representative to perform the ACIP and OCDD)	☐	☐

If you have answered **Yes** to all questions in **1.2.1,** go to **section 2.** If not, please complete **1.2.2**.

Regulated trust

1.2.2 Registered MIS - is the trust for which you are the trustee a registered managed investment scheme?

☐ No - go to **1.2.3**

☐ Yes - please tell us the ARSN.

Now go to **section 2.**

1.2.3 Unregistered MIS - unregistered managed investment scheme (where the scheme is not registered by ASIC; has only wholesale clients and does not make small scale offering to which section 1012E of the Corporations Act applies)

☐ No - go to **1.2.4**

☐ Yes - please provide the ABN for the unregistered managed investment scheme.

Trust ABN or registration/licence number

Now go to **1.4**, then **section 2**.

1.2.4 Government superannuation fund - is the trust for which you are the trustee a government superannuation fund established by legislation?

☐ No - go to **1.2.5**

☐ Yes - please tell us the name of the Act that establishes the fund

Now go to **1.4**, then **section 2**.

1.2.5 Other regulated trust (a trust registered and subject to the regulatory oversight of a Commonwealth statutory regulator in relation to its activities as a trust)

Note: This includes registered charities with the ACNC**,** superannuation funds, approved deposit funds, pooled superannuation trusts, public sector superannuation schemes (within the meaning of the Superannuation Industry *(Supervision) Act 1993 (Cth)*). If you are an SMSF, please use the stand alone application form for SMSF.

☐ No - go to **1.3**

☐ Yes - please provide the details below, then go to **section 2**.

Provide name of regulator (eg ASIC, APRA, ATO & ACNC)	Provide the Trust's ABN or registration/licensing details

If you answered NO to any of the questions in **1.2**, then go to **1.3**.

1.3 Unregulated trusts

All other unregulated trusts must complete this section. This includes family trusts, testamentary trusts, discretionary trusts, unregistered charitable trusts, nominee and sub-custodian entities that do not qualify as a custodian under **1.2.1**.

Trust ABN (if any)

Trust TFN (if any)

Now go to **1.3.1.**

1.3.1 Beneficiary details (class of beneficiaries)

Do the terms of the trust describe the beneficiaries by reference to members of a class?

☐ No - please go to **1.3.2**

☐ Yes - please provide details of the class to which the beneficiaries belong (e.g. family members, unit holders, un-named charities). Then go to Settlor details **1.3.3**.

For a nominee or sub-custodian

The **beneficiary** is the person or entity for whom the applicant holds its interests in the Fund.

1.3.2 Beneficiary details (specified beneficiaries)

Please specify each beneficiary below (using the applicable section for individuals or companies). If there are more beneficiaries than there is space for below, please complete the details in a separate sheet and attach to this application form.

Individual beneficiary 1

Title | Given name(s) | Surname

Individual beneficiary 2

Title | Given name(s) | Surname

Individual beneficiary 3

Title | Given name(s) | Surname

Individual beneficiary 4

Title | Given name(s) | Surname

Corporate Beneficiary 1

Company name | ACN

Corporate Beneficiary 2

Company name | ACN

Corporate Beneficiary 3

Company name | ACN

Corporate Beneficiary 4

Company name | ACN

Now go to **1.3.3**.

1.3.3 Settlor details

Note: You do not have to complete this section if you are a regulated trust.

The **settlor** may be the person or entity that provided the applicant with funds to make the investment and may be specified in the trust or relationship agreement. If not, the settlor may be the person or entity that appointed the applicant as nominee or sub-custodian.

Please provide the name of the settlor of the trust (unless they are deceased or the material asset contribution to the trust by the settlor at the time the trust was established was less than $10,000).

Title	Given name(s)	Surname

Related body corporate

1.4 Trust verification procedures

1.4.1 Did you answer yes to all of the questions in 1.2.1 or any of the questions in 1.2.2 - 1.2.5?

- [ ] No - please go to **1.4.3**
- [ ] Yes - please go to **1.4.2**.

1.4.2 Verification procedure for regulated trusts

Please provide a copy of the document(s) as set out below.

For trustees of unregistered managed investment scheme which only has wholesale clients and does not make small scale offerings to which s1012E of the Corporations Act applies (you answered yes **1.2.3**)	
A letter confirming these details from an external lawyer or accountant	☐
The relevant offer document for the scheme in English	☐
For regulated Government superannuation funds (you answered yes **1.2.4**)	
An extract of the establishing legislation, sourced from a government website.	☐

Now go to **section 2.**

1.4.3 Verification procedure for non-regulated trusts

Trust deed A certified copy of the trust deed/will or if not reasonably available a certified extract * of the trust deed that includes the name of the trust, trustees, and settlor(s) where applicable; OR A letter from an accountant or solicitor verifying the name, existence of the trust and the name of the settlor(s) where applicable	☐
Letter of compliance for nominees and sub-custodians If you have relied on the ACIP and OCDD performed by a member of your designated Business Group in respect to the underlying investor, please attach a signed AML/CTF compliance letter from the entity that has appointed you	☐
Margin loan For Margin Lenders or Nominees of the Margin Lender, please provide a certified copy or certified extract of the Loan Agreement with the Borrower	☐

*Please see the FAQs at the back of this form for the meaning of certified copy and certified extract.

Now go to **section 2.**

2. TRUSTEE DETAILS

For **Australian regulated trusts**, identification information is required for only **ONE** of the trustees, even if the trust has multiple trustees.

For **unregulated trusts**, please provide:

- Identification information for **ALL** trustees. If there are multiple trustees, complete **section 2** on another application form and attach it to the main application form.
- If you are an individual trustee, in respect of **ONE** trustee complete **section 4B and 5**
- If you are a corporate trustee, in respect of **ONE** trustee complete **section 3 and 4**.

2.1 Type of trustee

☐ The trustees are all individuals - go to **2.2**.

☐ The trustees are all companies - go to **2.3**.

2.2 Individual trustee(s) details

All correspondence will be sent to the email address provided by **Individual trustee 1**.

Individual trustee 1

Title ______ Given name(s) ______ Surname ______

Date of birth (DD/MM/YYYY) __ __ / __ __ / __ __ __ __ Occupation ______

Residential address - (A PO Box/RMB/Locked Bag is not acceptable)

Unit ______ Street number ______ Street name ______

Suburb ______ State ______ Postcode ______ Country ______

Contact details

Home number (including country and area code) ______ Mobile number (including country code) ______

Email (default address for all investor correspondence) ______

Individual trustee 2

Title ______ Given name(s) ______ Surname ______

Date of birth (DD/MM/YYYY) __ __ / __ __ / __ __ __ __ Occupation ______

Residential address - (A PO Box/RMB/Locked Bag is not acceptable)

Unit ______ Street number ______ Street name ______

Suburb ______ State ______ Postcode ______ Country ______

Contact details

Home number (including country and area code)

Mobile number (including country code)

Email

2.3 Corporate trustee details

Corporate trustee 1

Company name

ACN

Nature of business

Registered office address - (A PO Box/RMB/Locked Bag is not acceptable)

Property/building name (if applicable)

Unit

Street number

Street name

Suburb

State

Postcode

Country

Corporate trustee 2

Company name

ACN

Nature of business

Registered office address - (A PO Box/RMB/Locked Bag is not acceptable)

Property/building name (if applicable)

Unit

Street number

Street name

Suburb

State

Postcode

Country

Now go to **section 3**.

3. COMPANY DETAILS

Please complete this section if you are:

- A company investing on your own behalf, or
- A corporate trustee.

Full legal name of company as registered by ASIC

ACN

Nature of business

Do you have an authorised signatories list (ASL)? No Yes - For the ASL to be valid, please provide all the requirements below

A certified copy of the ASL with the full name, position and signature of each authorised representative	
A certified copy of the authorising document (e.g. Power of Attorney)	
Please tick to confirm the authorising document or Power of Attorney is still valid and it has not been revoked	

Do you have a verifying officer? No Yes - Please provide all of the following

Full name of verifying officer

Date of birth (DD/MM/YY) / /

Verifying officer residential address

certified copy of your ID (see **section 5**)

letter of appointment in company's letterhead signed by an authorised person

I confirm I have:

- Identified each of the authorised representatives or signatories of the above customer in accordance with requirements of the Anti-Money Laundering and Counter-Terrorism Financing (AML/CTF) Act and Rules and have provided with this form the full name and signature of each authorised representative or signatory (ASL).
- collected the following details from each authorised representative or signatory:
  - o full name of authorised representative/signatory
  - o title of the position/role held by the authorised representative/signatory with the customer
  - o a copy of the authorised representative/signatory's signature; and
  - o evidence of the authorised representative/signatory's authorisation to act on behalf of the customer
- made a record of the above details for each authorised representative/signatory which will be retained by the customer.

Signature of Verifying Officer

Date (DD/MM/YY) / /

If you are an Australian company, go to **3.1**.

If you are a foreign company, go to **3.2**.

3.1 Australian company

Registered office address - (A PO Box/RMB/Locked Bag is not acceptable)

Property/building name (if applicable)

Unit | Street number | Street name

Suburb | State | Postcode | Country

Principal place of business address (if different to registered office address) - (A PO Box/RMB/Locked Bag is not acceptable)

Property/building name (if applicable)

Unit | Street number | Street name

Suburb | State | Postcode | Country

Postal address (if different to above) - (A PO Box/RMB/Locked Bag is not acceptable)

Unit | Street number | Street name

Suburb | State | Postcode | Country

ACN | ABN

Contact details (for company or contact person)

Name | Email

Business number (include country and area code) | Mobile number (include country code)

Now go to **3.3.**

3.2 Foreign company

Country of formation

Registered in Australia? No Yes - please provide below the ARBN

Registered in country of formation? No Yes - Name of foreign registration body and identification number (if any)

If you are a foreign company registered in Australia, please provide your principal place of business in Australia, or the full name and address of your Australian authorised representative.

If you are not registered in Australia, please provide either the full address of the company as registered by the foreign registration body **or** the principal place of business in the country of formation.

A PO Box/RMB/Locked Bag is not acceptable.

Unit

Street number

Street name

Suburb

State

Postcode

Country

Postal address (if different to above) - (A PO Box/RMB/Locked Bag is not acceptable)

Unit

Street number

Street name

Suburb

State

Postcode

Country

Contact details (for company or contact person)

Name

Email

Business number (include country and area code)

Mobile number (include country code)

3.3 Type of company

Are you a public company or a private/proprietary company?

Private/proprietary company – please go to **3.4**

Public company – please go to **3.5**.

3.4 Private/Proprietary company

Please complete the director details below if you are an Australian proprietary company or a foreign private company. Do not complete for public companies.

Directors details

How many directors are there?

Please provide below the full name of all the directors. If there are more than four directors, please complete the details on a separate sheet and attach to this application form.

Director 1

Title

Given name(s)

Surname

Director 2

Title

Given name(s)

Surname

Director 3

Title

Given name(s)

Surname

Director 4

Title

Given name(s)

Surname

Now go to **3.6**.

3.5 Public company

Are you an Australian listed company?

No – please go to **3.6** Yes – please provide name of the market/exchange on which the company is listed

Listed company name	Market/exchange

Now go to **3.8.**

3.6 Majority owned subsidiary of an Australian public listed company

Are you a majority-owned subsidiary of an Australian publicly listed company?

No – please go to **3.7**

Yes – please provide name of the parent listed company and the market/exchange on which the company is listed

Listed company name	Market/exchange

Now go to **3.8**.

3.7 Regulated company

This only includes companies that are licensed by an Australian Commonwealth, State or Territory statutory regulator and are subject to regulatory oversight for example, Australian Financial Services Licensees (AFSL), Australian Credit Licensees (ACL) and Registrable Superannuation Entity (RSE) Licensees.

Are you a regulated company?

No – please go to **3.9** Yes – please provide details of the regulator and licence number below

Regulator	Licence number

Now go to **3.8**.

3.8 Verification procedures for regulated companies

If you have answered Yes to 3.6, please provide evidence of being a majority or wholly owned subsidiary of a listed company by providing a copy of one or more of the following:	
ASIC company extract	
company annual statement	
certified company share structure	
a public document issued by the relevant company	

3.9 Verification process for all other company types

Please provide evidence of beneficial ownership by providing a copy of one or more of the following:

ASIC or Foreign Company Extract	☐
company annual statement	☐
certified company share structure	☐
a public document issued by the relevant company	☐

4. BENEFICIAL OWNER

4A Beneficial owner of a company (including a company acting in the capacity of trustee)

You must complete this section if you are an unregulated company and you have answered no to:

- **3.5** (you are an Australian domestic listed company)
- **3.6** (you are a majority-owned subsidiary of an Australian listed company)
- **3.7** (you are a company that is licensed and subject to the regulatory oversight of a Commonwealth, State or Territory statutory regulator).

You are exempt from completing **section 4** if you are a regulated company or:

- You are a foreign listed company or a wholly owned subsidiary of a listed company in a financial market that is subject to disclosure requirements that ensure transparency of beneficial ownership.

  Listed company name ______ Market/exchange ______

A beneficial owner is an individual who ultimately owns (directly or indirectly) 25% or more of the customer, or controls (directly or indirectly) the customer (see FAQs). If you cannot identify any beneficial owners, please skip this **section 4.1** and instead complete the fallback procedure at **section 4.2** below.

Please provide details of your beneficial owner(s) below (see FAQs for guidance).

4.1 Beneficial owner(s) details

Beneficial owner 1

Title ______ Given name(s) ______ Surname ______

Residential address - (A PO Box/RMB/Locked Bag is not acceptable)

______

Date of birth (DD/MM/YYYY) ☐☐ / ☐☐ / ☐☐☐☐

Beneficial owner 2

Title ______ Given name(s) ______ Surname ______

Residential address - (A PO Box/RMB/Locked Bag is not acceptable)

______

Date of birth (DD/MM/YYYY) ☐☐ / ☐☐ / ☐☐☐☐

Beneficial owner 3

Title | Given name(s) | Surname

Residential address - (A PO Box/RMB/Locked Bag is not acceptable)

Date of birth (DD/MM/YYYY) / /

Beneficial owner 4

Title | Given name(s) | Surname

Residential address - (A PO Box/RMB/Locked Bag is not acceptable)

Date of birth (DD/MM/YYYY) / /

4.2 Fallback procedure

If you are a company (investing on your behalf) or corporate trustee and cannot identify any beneficial owner owning 25% or more, please complete **4.2.1**.

4.2.1 Senior managing official

Please provide the details of the senior managing official (or equivalent) (please see FAQs for the meaning of 'senior managing official')

Title | Given name(s) | Surname

Date of birth (DD/MM/YYYY) / /

Company Title

Residential address - (A PO Box/RMB/Locked Bag is not acceptable)

Unit | Street number | Street name

Suburb | State | Postcode | Country

4B Beneficial owner of the trust

You must complete this section if you are an unregulated trust. A beneficial owner of a trust is an individual who controls (directly or indirectly) the operations of the trust and has power to appoint or remove the trustee(s) of the trust.

You are exempt from completing this section if:

- You are a custodian and the beneficial owner is the underlying investor(s). If not, complete **4.2.2**.

4.2.2 Beneficial owner of the trust (individual with power to appoint or remove trustees)

Please provide the details of the individual who directly or indirectly controls the Trust. If this is confirmed to be the same individual as the trustee, he/she must be listed again below to confirm the trust's beneficial owner.

Title

Given name(s)

Surname

Date of birth (DD/MM/YYYY) / /

Company title

Residential address - (A PO Box/RMB/Locked Bag is not acceptable)

Unit

Street number

Street name

Suburb

State

Postcode

Country

Please follow the verification procedure in **section 5**, unless the individual is already providing the ID as a trustee or beneficial owner of the corporate trustee.

5. VERIFICATION PROCEDURE FOR INDIVIDUAL TRUSTEE, BENEFICIAL OWNER(S), SENIOR MANAGING OFFICIAL AND APPOINTOR

Please complete the below verification procedure in respect of each individual identified in **section 4**. You may also need to complete the below in respect of an individual trustee if directed to do so under **2.2**. If you cannot meet the requirements of **option A**, please follow the instructions in **option B**.

If the investor is below the age of 18, please contact us on 1300 113 547.

*Please see the FAQs at the end of this form for the meaning of certified copy.

**If the document is written in a language other than English, it must be accompanied by an English translation prepared by an accredited translator.

OPTION A

Provide a certified copy* of one of the following:
Current Australian Driver's Licence containing a photo of the person (scan the front and back)
Current Australian Passport or an Australian Passport that has expired within the preceding 2 years
Current Australian State or Territory Government Identity Card showing the person's date of birth, photo and signature
Current Foreign Government Identity Card showing the person's date of birth, photo and signature**
Current Foreign Driver's Licence showing the person's date of birth, photo and signature**
Current Foreign Passport showing the person's date of birth, photo and signature**

OPTION B

If you can't provide any document from option A, then please provide a certified copy* of one document from group 1 and one document from group 2.
GROUP 1
Birth Certificate or Birth Extract issued by an Australian State or Territory
Australian Government issued Citizenship Certificate

Current Concession or Health Care Card issued by Centrelink (scan the front and back)
GROUP 2
Commonwealth, State or Territory Government Notice within the preceding 12 months and recording the provision of financial benefits
Australian Taxation Office Notice within the preceding 12 months and recording the debt payable to or by the individual by or to (respectively) the ATO
Local Government or Utilities Provider Statement within the preceding 3 months and recording the provision of services

6. INVESTMENT DETAILS & DISTRIBUTION INSTRUCTIONS

Specify your initial application amount.

We may waive or vary the investment minimums. Indicate your distribution choice below. If you do not make an election, distributions will be reinvested.

Fund name	APIR	Investment amount AUD$ (Minimum Investment amount(s) AUD$20,000)	Distribution option (indicate (X) one option per fund)	
			Pay to my bank a/c	Reinvest
PIMCO Australian Bond Fund – Wholesale Class	ETL0015AU			
PIMCO Australian Short-Term Bond Fund – Wholesale Class	ETL0182AU			
PIMCO Capital Securities Fund – Wholesale Class	ETL0445AU			
PIMCO Diversified Fixed Interest Fund – Wholesale Class	ETL0016AU			
PIMCO Dynamic Bond Fund – Wholesale Class	ETL0404AU			
PIMCO ESG Global Bond Fund - Wholesale Class	PIC6396AU			
PIMCO Global Bond Fund – Wholesale Class	ETL0018AU			
PIMCO Global Credit Fund – Wholesale Class	ETL0019AU			
PIMCO Income Fund – Wholesale Class	ETL0458AU			
PIMCO TRENDS Managed Futures Strategy Fund – Wholesale Class	PIC9659AU			

Please indicate the source and origin of funds being invested

Savings
Investment
Superannuation contributions
Commission
Donation/gift
Inheritance
Normal course of business
Sale of assets (e.g. shares, property)
Other

7. PAYMENT OF APPLICATION AMOUNT

Select your payment method and complete the relevant section if applicable. All payments must be made in AUD.

EFT **BPAY®** **Cheque**

EFT	Electronic Funds Transfer
Account name:	PIMCO Australia Funds Applications Trust Account
BSB:	083-001
Account number:	777 933 790
Your reference:	please use the name of the investor and investor number

BPAY® - Telephone & internet banking (existing PIMCO investors only)

You can make your payment using telephone or internet banking.
You will need to quote the fund biller code and your investor number when making your payment.
Please refer to the table below to find the BPAY® Biller Code for the fund you wish to invest in.
Contact your bank or financial institution to make this payment from your cheque, savings, debit or transaction account.
More info: www.bpay.com.au
®Registered to BPAY Pty Ltd ABN 69 079 137 518

Fund name	BPAY® details
PIMCO Australian Bond Fund - Wholesale Class	Biller code 254029 Reference number: your investor number
PIMCO Australian Short-Term Bond Fund - Wholesale Class	Biller code 254078 Reference number: your investor number
PIMCO Capital Securities Fund - Wholesale Class	Biller code 254136 Reference number: your investor number
PIMCO Diversified Fixed Interest Fund - Wholesale Class	Biller code 254003 Reference number: your investor number
PIMCO Dynamic Bond Fund - Wholesale Class	Biller code 254128 Reference number: your investor number
PIMCO ESG Global Bond Fund - Wholesale Class	Biller code 269316 Reference number: your investor number
PIMCO Global Bond Fund - Wholesale Class	Biller code 250985 Reference number: your investor number
PIMCO Global Credit Fund - Wholesale Class	Biller code 254094 Reference number: your investor number
PIMCO Income Fund - Wholesale Class	Biller code 254144 Reference number: your investor number
PIMCO TRENDS Managed Futures Strategy Fund - Wholesale Class	Biller code 290338 Reference number: your investor number

Cheque

Please make your cheque payable to **PIMCO Australia Funds Applications Trust Account**. Attach the cheque with your original application forms when posting.

Please cross and write 'non-negotiable' on Australian cheques only.

8. FINANCIAL INSTITUTION ACCOUNT DETAILS

Australian bank account details

Please provide your bank account details if you have selected to take your distribution in cash or wish to provide these details for future redemptions. We will only pay cash proceeds to a bank account in the name(s) of the investor(s). We will not make any payments into third party bank accounts.

Financial institution name

Branch name

Account name

BSB number

Account number

Foreign bank account details

Financial institution name

Financial institution address

Account number

Account name

SWIFT/BIC

ABA/FED (US)

IBAN (Europe)

9. COMMUNICATION

Automatic online account access

Online access enables you to view details of your investments (account balance, investment details and account statements). We will send you the necessary registration details by post once your application is processed.

Note: You may receive marketing material (e.g. market commentary, event invitations) from us, from time to time. Please indicate if you do not wish to receive these communications.

Annual report options

The annual and any semi-annual financial statements of the fund are available free on our website. If you would like to receive a copy by post or email, please indicate below. (This refers to annual and semi-annual reports only. This will not affect communication instructions regarding general correspondence for your fund).

By email

By post

Marketing Material

You may receive information from us via mail, telephone, email or other electronic messaging service relating to market commentary, services or information that may be of interest to you. By providing us with your contact details you consent to being contacted by these methods for these purposes. Please indicate if you do not wish to receive marketing information from us or any companies within our group.

10. FINANCIAL ADVISER DETAILS

Have you been advised by a financial adviser in relation to your investment in this PIMCO Fund / these PIMCO Funds?

- No - please proceed to **Section 11** (Authorised Representative of investor)
- Yes - your financial adviser is required to complete the section indicated below

In general, an appointed financial adviser can do everything you can do with your investment, except appoint another person to operate your account. It is important to tell us promptly if you no longer wish your financial adviser to operate your account, or if your financial adviser changes – Iress will keep accepting their instructions until you or your adviser inform us in writing that the appointment has terminated.

We may suspend or terminate their appointment for any reason considered reasonable, and may change the terms on which they operate your account.

You indemnify us from any loss you or we suffer as a result of the actions of your appointed financial adviser, and agree to ratify their actions if we ask.

THIS SECTION MUST BE COMPLETED BY THE APPLICANT'S FINANCIAL ADVISER.

Financial Adviser Details

Use this section to tell us about your financial adviser. Please note, your financial adviser will be given online access to view your account irrespective of whether you are registered for online access or not.

AFSL holder name

AFSL number

Adviser name

Advisor code or Authorised Representative number

ABN

Property/building name

Unit

Street number

Street name

Suburb

State

Postcode

Country

Phone

Mobile

Adviser email address

Notice to financial adviser: by completing this section of the application form, you are taken to be representing and warranting to PIMCO that you hold a current Australian Financial Services Licence (AFSL), or are otherwise authorised to advise on and arrange the product(s) the subject of the application(s) being made via this form. If you change your financial adviser group it is important that you let us know in a timely way.

- I hold an AFSL in my own name or have been appointed as an Authorised Representative by the licensee.
- I am - or, if applicable, the AFS licensee that has appointed me as its authorised representative is - a reporting entity for AML/CTF purposes.

AFSL full legal entity name

AFSL number

Please print full name

Signature

Notice to Financial Adviser: please note that reliance on the KYC performed by the financial advisor is only acceptable if all the criteria below are met.

11. AUTHORISED REPRESENTATIVE OF INVESTOR

Please complete this section if you wish to appoint an individual or individuals to act on your behalf in relation to your investment in the fund. If you have appointed an entity as your authorised representative, please contact us on 1300 113 547 to obtain the relevant KYC form.

11.1 Authorised Representative details

Authorised Representative 1

Title

Given name(s)

Surname

Authorised Representative's phone number

Email

Authorised Representative's signature

Authorised Representative 2

Title

Given name(s)

Surname

Authorised Representative's phone number

Email

Authorised Representative's signature

If you wish to appoint more than two authorised representatives, please complete the details on a separate sheet and attach to this application form.

11.2 How authorised representatives may act in relation to the account?

Please tick as applicable

Each authorised representative listed above may provide instructions in relation to the investment individually without the consent of the other	☐
All authorised representatives must act jointly to provide instructions in relation to the investment	☐
Other arrangement – please provide details below ______	☐

11.3 Verification procedure for authorised representatives who are individuals

For each authorised representative, please provide verification documents in accordance with the verification procedure in **section 5**. In addition, please provide evidence of each authorised representative's authority to act on behalf of the investor. Please tick the document(s) you have provided.

Verification documents - mandatory	
A certified copy of ID as per **section 2**	☐
Authorised Representative's authority - one of the following (not required for a Financial Adviser listed in **Section 10**)	
Certified copy of the authorising document (e.g. POA); or	☐
A certified copy of a guardianship order; or	☐
Other arrangement – please provide details ______	☐

☐ I confirm that the document authorising each authorised representative is still valid and has not been revoked.

12. TAX INFORMATION - GLOBAL TAX REPORTING REQUIREMENTS (CRS/FATCA)

Why you need to complete this section?

The Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS) are regulatory requirements that aim to deter tax evasion by US and other foreign taxpayers. The Australian and a number of other foreign Governments have an agreement which requires us to obtain certain information from investors, including taxation information. You may be liable to a penalty if you provide information that is false or misleading in a material particular. We may decide not to open an account without first receiving the required information. For more information, visit www.ato.gov.au.

If you are unsure of any of the answers, please contact a legal or accounting professional.

HELP

Tell me about tax residence

You can be a resident of more than one country for tax purposes. Whether you are tax resident of a particular country for tax purposes is often based on the amount of time you spend in a country and the location of your residence and/or place of work. If you pay tax or have a tax liability somewhere, you are probably a tax resident there. Dual citizenship often brings dual tax residency.
It depends on the country. For the US, tax residency can be as a result of citizenship or residency for tax purposes.

If you are unsure, ask someone who knows, usually your accountant.

12.1 Companies and non-superannuation trusts

12.1.1 Are you a specified US person for tax purposes?

No

Yes - please tell us your **TIN**

12.1.2 Are you a resident of any other country for tax purposes? Other than the US or Australia.

No

Yes - please tell us which ones, using the following table

HELP

No TIN? Reasons we accept are:

Reason A: The country of tax residency does not issue TINs to its tax residents

Reason B: The entity/individual has not been issued with a TIN

Reason C: The country of tax residency does not require the TIN to be disclosed

	Country or jurisdiction of tax residency	TIN	**No TIN? Which reason?** If **Reason B** has been selected please provide an explanation. See above **HELP** box.
1			
2			
3			
4			

12.1.3 Are you a financial institution?

Be careful - financial Institution is broadly defined - see **HELP** box

No

Yes - please tell us your **GIIN*** - see **HELP** box.

HELP

What is a Financial Institution?

For further details about a Financial Institution, please refer to the ATO's AEOI Guidance - https://www.ato.gov.au/general/international-tax-agreements/in-detail/international-arrangements/automatic-exchange-of-information---crs-and-fatca/?page=2#2_Financial_institutions.

HELP

What is a GIIN?

This stands for Global Intermediary Identification Number. GIINs are 19 digits long, issued by US tax authorities (the IRS) to non US financial institutions and sponsoring entities for purposes of identifying their registration with the IRS under US tax laws (called FATCA).

For further details about the GIIN please refer to the IRS GIIN Composition Information - Please refer to https://www.irs.gov/businesses/corporations/fatca-registration-and-ffi-list-giin-composition-information.

Where to now?

I ticked **yes** and completed my **GIIN** - go to **12.1.4**.

I ticked **yes** and I am a Managed Investment Entity in a non-CRS participating jurisdiction - If no, proceed to question **12.1.4**.

I ticked **yes** but did not write a GIIN – please tick below why you did not write a **GIIN** - then go to **12.1.4**.

Exempted financial institution	
Deemed compliant financial institution	
Exempt beneficial owner	
Non-participating financial institution	
Non-reporting IGA financial institution	
Sponsored financial institution - their GIIN is	
Other	

12.1.4 Are you a public company listed on a stock exchange or a related entity of a publicly listed company or a governmental entity?

No

Yes - then go to **section 13** and **14**.

12.1.5 Are you active or passive?

I am an 'active' non financial entity. Please tell us what type of active NFE you are below - then go to **section 13** and **14**.

I am a 'passive' non financial entity.

HELP

What is active and passive?

A non-financial entity (NFE) is any entity that is not a financial institution as defined above.

You will be a passive NFE if you are not an active NFE.

Generally, you will be an active NFE if:

- [ ] your stock (or a related entity's stock) is regularly traded on established securities market less than 50% of your gross income for the previous reporting period was passive income and less than 50% of your assets during that period produce or were held to produce passive income.
- [ ] you are a Governmental entity, an international organisation, a central bank or an entity wholly owned by one of the above.
- [ ] you are exempt from income tax in your residential jurisdiction and were established and operated exclusively for religious, charitable, scientific, artistic, athletic or educational purposes and meet certain other specific criteria.
- [ ] you have not been a financial institution in the past five years and are in the process of liquidating your assets or reorganising with the intent to recommence operations other than as a financial institution.

If you are unsure whether you are an active or passive NFE, please get advice.

12.1.6 Do you have any controlling persons who are resident of another country or jurisdiction of tax residency for tax purposes?

- [ ] I am passive, and **yes** I do have controlling persons who are resident of a country or jurisdiction other than Australia for tax purposes

Complete the **controlling persons details** in the table below - then go to **section 13** and **14**. If there is not enough room in the table, please copy the page and attach it to your completed form.

- [ ] I am passive, but **no** I do not have controlling persons who are resident of a country or jurisdiction other than Australia for tax purposes.

It would be unusual to think of no-one. Please read the **HELP** box. If you are sure - go to **section 13** and **14**.

HELP

Controlling persons

Controlling persons are natural persons who exercise control over an entity.

For trusts, the settlor(s), the trustee(s), the protector(s) (if any), and the beneficiary(ies) or class(es) of beneficiaries, must always be treated as Controlling Persons of a trust, regardless of whether or not any of them exercises control over the trust for FATCA/CRS purposes.

For companies, controlling persons generally include any person who holds (directly or indirectly) more than 25% of the shares in the company and any person who has the power to influence decisions about the company's financial and operating policies, such as senior managing officials or directors.

Controlling person 1

Title	Given name(s)	Surname

Date of birth (DD/MM/YYYY)	City and country of birth
__ __ / __ __ / __ __ __ __	

Residential address

Unit	Street number	Street name

Suburb	State	Postcode	Country

	Country or jurisdiction of tax residency	TIN	**No TIN? Which reason?** If **Reason B** has been selected please provide an explanation. See **12.1.2 HELP** box.
1			
2			
3			
4			

Controlling person 2

Title	Given name(s)	Surname

Date of birth (DD/MM/YYYY)	City and country of birth
_ _ / _ _ / _ _ _ _	

Residential address

Unit	Street number	Street name

Suburb	State	Postcode	Country

	Country or jurisdiction of tax residency	TIN	**No TIN? Which reason?** If **Reason B** has been selected please provide an explanation. See **12.1.2 HELP** box.
1			
2			
3			
4			

Controlling person 3

Title	Given name(s)	Surname

Date of birth (DD/MM/YYYY)	City and country of birth
_ _ / _ _ / _ _ _ _	

Residential address

Unit	Street number	Street name

Suburb	State	Postcode	Country

	Country or jurisdiction of tax residency	TIN	**No TIN? Which reason?** If **Reason B** has been selected please provide an explanation. See **12.1.2 HELP** box.
1			
2			
3			
4			

Controlling person 4

Title

Given name(s)

Surname

Date of birth (DD/MM/YYYY)

__ __ / __ __ / __ __ __ __

City and country of birth

Residential address

Unit

Street number

Street name

Suburb

State

Postcode

Country

	Country or jurisdiction of tax residency	TIN	**No TIN? Which reason?** If **Reason B** has been selected please provide an explanation. See **12.1.2 HELP** box.
1			
2			
3			
4			

13. DECLARATIONS & ACKNOWLEDGMENTS

Please read the declarations below before signing this form. The required signature(s) are detailed at the bottom of this form.

When you apply to invest, you (the applicant) are telling us:

- to the best of your knowledge, all details in this application (including all related documents provided) are true, correct and complete
- you have received, read and understood the current PDS. You agree to be bound by the constitution of the fund, the PDS as supplemented, replaced or re-issued from time to time
- you are not bankrupt or a minor, and are authorised to sign this form
- you have received and accepted this offer in Australia
- you have read and understood the information relating to privacy in the PDS
- I consent to the issuer disclosing my personal information to any issuer's service providers, in relation to any identification and verification that the issuer is required to undertake on me, as required under the AML/CTF Act. This shall include any information:
    - o required by any third party document verification service provider, and/or
    - o provided to any third party document verification service provider.

By applying to invest you also acknowledge that:

- I/We acknowledge that PIMCO may make certain investor information available by electronic or digital means. I/We consent to receiving investor information including confirmations of transactions and additional information as applicable either via the email address provided or if the investor information is available digitally, I/we consent to receiving notification of where to access the investor information including confirmations of transactions and additional information as applicable via the email address or mobile number provided
- I/We hereby acknowledge and agree that PIMCO has outlined in the PDS provided to me/us how and where I/we can obtain a copy of PIMCO's Privacy Policy. I/We consent to my/our personal information being collected, held, used and disclosed in accordance with PIMCO's Privacy Policy
- I/We acknowledge that PIMCO may be required to disclose my/our personal information to external third parties. I/We hereby consent to the disclosure of any of my/our personal information to external third parties including but not limited to the administrator, the custodian, the registry provider, their related bodies corporate who may be located outside Australia and to government agencies (if required by law) for the purpose of administering the Fund(s) in which I/we have chosen to invest
- If I/we have completed **section 10**. Financial Adviser Details on the Application Form then I/we:
    - o consent to PIMCO disclosing information about my investments to my/our financial adviser and their dealer group. Where my/our financial adviser no longer acts on my/our behalf, I/we will notify PIMCO of the change; and
    - o understand that my/our financial adviser cannot make any changes to my account (including transacting or redeeming from my account) without my authority.
- I am/We are not prohibited or restricted by an applicable securities or other laws or regulations from applying, holding or withdrawing any units in the Fund or from receiving the PDS and this Application Form
- I/We hereby acknowledge that I/we do not become a unit holder in the Fund in which I/we have chosen to invest until this Application is accepted by PIMCO and I/We are on the register of the Fund
- If this is a joint application each of us agrees that our investment is held as joint tenants
- I/We acknowledge and agree that where PIMCO, in its sole discretion, determines that if:
    - o I/we are or become ineligible to hold units in a Fund including if the investment balance in a Fund falls below the minimum balance amount or I/we have provided misleading information in this Application Form; or
    - o I/we owe any amounts to PIMCO, then I/we appoint PIMCO as my/our authorised representative to submit a withdrawal request on my/our behalf in respect of all or part of my/our units, as the case requires, in the Fund.
- I/We am not a "politically exposed" person or organisation for the purpose of any Australian AML Law
- monies deposited are not associated with crime, money laundering and/or financing terrorism. We may decide to delay or refuse any request or transaction, including by suspending the issue or redemption of units. If we are concerned that the request or transaction may breach any obligation of, or cause us to commit or participate in an offence under any AML/CTF and Sanctions Law and FATCA/CRS obligations, we will incur no liability to you if we do so

- we may take other action we reasonably believe is necessary to comply with AML/CTF and Sanctions Law and FATCA/CRS obligations, including disclosing any information held about you to any of our related bodies corporate or service providers whether in Australia or outside Australia, or to any relevant Australian or foreign regulator, and
- we collect additional information about you from time to time, from you or from third parties, for the purposes of satisfying AML/CTF and Sanctions Law and FATCA/CRS obligations, and that any such information may be used and disclosed as described in the privacy policy.

Your privacy

For the purposes of providing products and services to you, PIMCO collects personal information about you (as outlined in the PDS) including from sources such as account applications and other forms, from other written, electronic or verbal correspondence, from your transactions, from your brokerage or financial advisory firm, financial adviser or consultant, and/or from information captured on our internet websites.

When you sign this Application Form, you agree that PIMCO can, consistently with the Privacy Act 1988 (Cth) ("Privacy Act"), collect, use and disclose personal information about you for those purposes. In some circumstances, PIMCO may be required by law to collect your personal information, for example under the AML/CTF Act.

In order for PIMCO to provide products and services to you, your personal information may be disclosed to entities located outside Australia, including entities located in Brazil, Canada, Germany, Hong Kong, Italy, Japan, Singapore, Switzerland, United Kingdom and United States of America where your personal information may not receive the level of protection afforded under Australian law.

We take reasonable steps to ensure that any third party we disclose your personal information to is bound by privacy obligations to protect information about you.

Under the Privacy Act, you have rights to request access to, and correction of, personal information PIMCO holds about you. You can find more information about how PIMCO handles and manages your personal information, and about your rights in connection with access, correction and complaints, in PIMCO's Privacy Policy at pimco.com.au. If you would like a copy of PIMCO's Privacy Policy, please contact our Compliance Officer on +61 2 9279 1771 or privacy@au.pimco.com.

Important information

- nothing in this form is advice and 'help' is general guidance only. Seek professional advise to be sure of your answers
- it is a condition of investing that you keep your details (including tax details) with us, up to date. We recommend that you review this tax information form at the end of the financial year and update your details if required. You must contact us when you learn new things about the matters in this form. Failing to update us can have tax and other consequences. You can update us by requesting and completing this form and emailing, faxing or posting it to our Administrator.

By completing and signing this form:

- you represent having read and understood this form
- you represent this form is complete and accurate
- if you have applied for but not received your TIN or GIIN, you undertake to inform us within 30 days of receiving it
- you undertake that if information in this form changes, you will tell us within 30 days
- you declare that to the best of your knowledge and belief the information provided in the Global Tax Reporting section is true and correct
- you agree to notify PIMCO of any changes to your tax residency or that of any beneficial owners or controlling person.

14. SIGNATURE(S)

For individual trustees, all trustees to sign. For companies or corporate trustee, the signature(s) of either a sole director (if applicable), or two directors, or one director and the company secretary.

Signature 1

Signature

Date (DD/MM/YY) ☐☐ / ☐☐ / ☐☐☐☐

Full name

Capacity	
Director	
Individual	
Joint Party	
Trustee	

Signature 2

Signature

Date (DD/MM/YY) ☐☐ / ☐☐ / ☐☐☐☐

Full name

Capacity	
Individual trustee 2	
Director	☐
Company Secretary	
Authorised Representative	☐

Signature 3

Signature

Date (DD/MM/YY) ☐☐ / ☐☐ / ☐☐☐☐

Full name

Capacity	
Individual trustee 3	
Director	
Company Secretary	
Authorised Representative	

Signature 4

Signature

Date (DD/MM/YY) ☐☐ / ☐☐ / ☐☐☐☐

Full name

Capacity	
Individual trustee 4	
Director	
Company Secretary	
Authorised Representative	

What should a trust deed extract include?	An extract of the trust deed should include the following information: • the full name (if any) of the trust; • the full name of the trustee(s), and • the full name of the settlor of the trust.
Who is a senior managing official'?	A senior managing official is an individual who makes, or participates in making, decisions that affect the whole, or a substantial part of the company, or that may significantly affect the company's financial standing.
Who is a 'beneficial owner'?	A beneficial owner is an individual who ultimately owns (directly or indirectly) 25% or more of the customer, or controls (directly or indirectly) the Customer. Control includes control as a result of, or by means of, trusts, agreements, arrangements, understandings and practices, whether or not having legal or equitable force and whether or not based on legal or equitable rights, and includes exercising control through the capacity to determine decisions about financial and operating policies. Information that may assist you in determining your beneficial owner includes (as relevant): • a certificate of incorporation of a company with ASIC/and or an annual statement including the amendments submitted to ASIC • a trust deed • a partnership agreement • the constitution and/or certificate of information for an incorporated association, or • the constitution of a registered co-operative.
Determining the beneficial owner of a trust	A beneficial owner of a trust may include: • any individual shareholder who holds the legal title to 25% or more of the issued shares in the trustee; • directors of the trustee (if they own or control the trustee) • company secretary of the trustee (if they own or control the trustee), and/or • any other individual who has the power to appoint or remove the trustee as the trustee of the trust. For a nominee that has been appointed by a related body corporate to hold interests in the fund on trust, the nominee agreement may specify the beneficial owner. This may be (but is not necessarily) the person that appointed the nominee. For a foreign company making an investment as a custodian under a single trust with multiple beneficiaries, the trust document under which the custodian is appointed may specify the beneficial owner/s. For a foreign company making an investment acting as a custodian for multiple trusts with multiple underlying investors, each trust document with each underlying investor may specify the beneficial owner/s.
Guidance on types of trusts	You will be the trustee of a trust if you are an individual or a company that will hold interests in the fund on trust for another person or persons (known as the beneficiary). It is important to carefully consider who is the beneficiary of the trust for which you are the trustee, keeping in mind that the beneficiary may hold its interest on trust for someone else (i.e. there may be layers of trust relationships down to the underlying investor). Trustees may include: • **Trustees of a managed investment scheme**: Managed investment schemes are a type of trust. The trustee of a managed investment scheme is generally a company. If the managed investment scheme is registered with ASIC, the trustee is known as the responsible entity. • **Custodians or nominees:** These are companies that provide custodial or depository services. In the context of managed investment schemes, custodians or nominees may hold interests on trust for the responsible entity of the scheme. The responsible entity for the scheme then holds interests on trust for the investors in that scheme. In the context of margin lending, a nominee may hold interests on trust for the borrower who has borrowed money from the margin lender. **Providing a custodial or depository service, where: (a) the service is provided in the course of carrying on a business of providing custodial or depository services; and (b) the service is not an exempt legal practitioner service • **Trustees of self - managed super funds**: SMSFs are a type of trust. The trustee of an SMSF may be a company or two to six individuals. If the trustee is a company, there can be one to six members (or beneficiaries) of the SMSF and each member of the SMSF must be a director of the company trustee. If the trustees are individuals, there can be two to six members (or beneficiaries) of the SMSF and each of those members must be a trustee. • **Trustees of retail super funds**: Retail super funds are a type of trust. The trustee of a retail super fund is a company. • **Trustees of family trusts**: The trustee of a family trust may be one ore more individuals or a company or other type of entity. The trustee holds money or property for the beneficiaries of the family trust. • **Trustees of charitable trusts:** Some charities are structured as trusts. Trustees of charitable trusts are commonly individuals (for example, a board of trustees) or a company. Other charities may be structured as companies or associations. • **Trustees of deceased estates and testamentary trusts**: Testamentary trusts are discretionary trusts established in wills, that allow the trustees of each trust to decide, from time to time, which of the nominated beneficiaries (if any) may receive the benefit of the distributions from that trust for any given period.

Translating documents by an accredited translator	In Australia an accredited translator means a professional translator accredited by the National Accreditation Authority for Translators and Interpreters (NAATI) at or above professional level. • NAATI (https://www.naati.com.au/) In an overseas country, an accredited translator is a professional translator accredited by a NAATI equivalent authority. For these, escalate to the AML compliance officer for assistance.
Getting your copies or extracts certified	Any document(s) requiring certification for verification purposes must be certified by an eligible person to be a true copy of the original document. Documents must be either certified on all pages or certified on the front page with a clear reference to the number of subsequent pages that are included.
Example of certification	I certify that this is a true and correct copy of the original document Signature of Certifier Name of Certifier Capacity of certifier – e.g. Justice of the Peace Date of certification (DD/MM/YYYY)
List of occupations that can certify (from the Statutory Declaration Regulations 2018)	• Architect • Chiropractor • Dentist • Financial Advisor or financial planner • Legal practitioner • Medical practitioner • Midwife • Migration authorised representative registered under Division 3 of Part 3 of the Migration Act 1958 • Nurse • Occupational therapist • Optometrist • Patent attorney • Pharmacist • Physiotherapist • Psychologist • Trade marks attorney • Veterinary surgeon
List of persons that can certify	• a person who is enrolled on the roll of the Supreme Court of a State or Territory or the High Court of Australia, as a legal practitioner (however described); • a judge of a court • a magistrate • a chief executive officer of a Commonwealth court • a registrar or deputy registrar of a court • a Justice of the Peace • a notary public (for the purposes of the Statutory Declaration Regulations 2018) • a police officer • an authorised representative of the Australian Postal Corporation who is in charge of an office supplying postal services to the public • a permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public • an Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955) • an officer with 2 or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 2018) • a finance company officer with 2 or more continuous years of service with one or more financial companies (for the purposes of the Statutory Declaration Regulations 2018) • an officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more continuous years of service with one or more licensees and • a member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with 2 or more years of continuous membership.