

Client Services contact details

Phone:
1300 113 547

International:
+61 2 8823 2583

Fax:
+61 2 9151 4096

Email:
investorservices@au.pimco.com

Application form | Individual

WHO SHOULD COMPLETE THIS FORM?

This application form is for individuals or sole traders, investing on their own behalf.

HOW TO COMPLETE THIS FORM

- Step 1** Before submitting this form, please read the Product Disclosure Statement (PDS) available here www.pimco.com.au/en-au/resources/explorer or if you are unable to access the link or print the document, please contact us.
- Units in a fund will only be issued following acceptance of an application form issued with the relevant PDS, with all required documents attached.
- Please print, use black pen and write in BLOCK letters. If you make an error do not use correction fluid, instead, cross out your error and initial your changes.
- Note:** Please ensure all fields are completed.
-

- Step 2** **Tell us your foreign tax status**
Please complete the **Global Tax Reporting (FATCA/CRS)** information in **section 9**.
-

- Step 3** **Sign and send your documents to the below address.**
- Please ensure you sign **section 11** of the form in accordance with the instructions provided.
- You can return your forms by post to:
- PIMCO Investor Services
GPO Box 804
Melbourne VIC 3001, Australia
-

- Step 4** **Make your payment**
- Please refer to **section 4** of the application form and follow the instructions on how to pay the application amount.
- Your application cannot be processed until all relevant identification documents and cleared funds are received.
-

IMPORTANT INFORMATION

- Please ensure all relevant fields are completed. If you do not complete all relevant sections your application may be rejected and returned to you for completion, resulting in a delay in processing your application.
- If you have any questions as you complete this form, please refer to the FAQs of this form, or contact us on 1300 113 547.

Please use this Application Form if you wish to make an application to invest in one or more of the following funds issued by PIMCO Australia Management Limited (ABN 37 611 709 507, AFSL 487 505) ("PIMCO").

Please use the Application form if this is your first application or additional investment for units in the relevant PIMCO Funds (below).

Fund name	APIR
PIMCO Australian Bond Fund - Wholesale Class	ETL0015AU
PIMCO Australian Short-Term Bond Fund - Wholesale Class	ETL0182AU
PIMCO Capital Securities Fund - Wholesale Class	ETL0445AU
PIMCO Diversified Fixed Interest Fund - Wholesale Class	ETL0016AU
PIMCO Dynamic Bond Fund - Wholesale Class	ETL0404AU
PIMCO ESG Global Bond Fund - Wholesale Class	PIC6396AU
PIMCO Global Bond Fund - Wholesale Class	ETL0018AU
PIMCO Global Credit Fund - Wholesale Class	ETL0019AU
PIMCO Income Fund - Wholesale Class	ETL0458AU
PIMCO TRENDS Managed Futures Strategy Fund - Wholesale Class	PIC9659AU

INVESTOR DETAILS

What is the full legal name of the individual or sole trader that will hold title of the units?

Full name of account designation

If you are an existing investor, please provide your account number

I/We confirm there are no changes to our identification documents previously provided and that these remain current and valid.

I/We confirm there are no changes to the information in our previous application provided and that it remains current and valid.

1. INVESTOR DETAILS

Complete all details below in respect of the individual(s) or sole trader(s) that will hold legal title to the units.

Investor 1

Title Given name(s) Surname

Business name (if sole trader)

ABN (if any, for sole trader)

Date of birth (DD/MM/YYYY) / / Occupation

Residential address (or, if you are a sole trader, principal place of business) - (A PO Box/RMB/Locked Bag is not acceptable)

Property/building name (if applicable)

Unit Street number Street name

Suburb State Postcode Country

Postal address (if different to residential address) - (A PO Box/RMB/Locked Bag is not acceptable)

Property/building name (if applicable)

Unit Street number Street name

Suburb State Postcode Country

Contact details

Home number (including country and area code) Mobile number (including country code)

Email (default address for all correspondence)

Tax details - Australian residents

If you are an Australian resident for tax purposes, please provide your tax file number (TFN) or a reason for exemption. If you are an Australian resident and do not provide your TFN, or a reason for exemption, you will be taxed at the highest marginal tax rate plus the Medicare levy.

TFN

Reason for exemption

Investor 2

Title

Given name(s)

Surname

Business name (if sole trader)

ABN (if any, for sole trader)

Date of birth (DD/MM/YYYY)

Occupation

Residential address (or, if you are a sole trader, principal place of business) - (A PO Box/RMB/Locked Bag is not acceptable)

Property/building name (if applicable)

Unit

Street number

Street name

Suburb

State

Postcode

Country

Postal address (if different to residential address) - (A PO Box/RMB/Locked Bag is not acceptable)

Property/building name (if applicable)

Unit

Street number

Street name

Suburb

State

Postcode

Country

Contact details

Home number (including country and area code)

Mobile number (including country code)

Email

All correspondence will be sent to the email address provided by **Investor 1**.

Tax details - Australian residents

If you are an Australian resident for tax purposes, please provide your tax file number (TFN) or a reason for exemption. If you are an Australian resident and do not provide your TFN, or a reason for exemption, you will be taxed at the highest marginal tax rate plus the Medicare levy.

TFN

Reason for exemption

If there are more than two individuals, please complete a separate application form signed by each additional applicant and submit it together with this form.

If clarification is required please contact us on 1300 113 547 or investorservices@au.pimco.com.

2. VERIFICATION PROCEDURE

If you cannot meet the requirements of **option A**, please follow the instructions in **option B**.

OPTION A

Provide a certified copy* of one of the following:

- | | |
|------------------------------------------------------------------------------------------------------------------------|--------------------------|
| Current Australian Driver's Licence containing a photo of the person (scan the front and back) | <input type="checkbox"/> |
| Current Australian Passport or an Australian Passport that has expired within the preceding 2 years | <input type="checkbox"/> |
| Current Australian State or Territory Government Identity Card showing the person's date of birth, photo and signature | <input type="checkbox"/> |
| Current Foreign Government Identity Card showing the person's date of birth, photo and signature** | <input type="checkbox"/> |
| Current Foreign Driver's Licence showing the person's date of birth, photo and signature** | <input type="checkbox"/> |
| Current Foreign Passport showing the person's date of birth, photo and signature** | <input type="checkbox"/> |

OPTION B

If you can't provide any document from option A, then please provide a certified copy* of one document from group 1 and one document from group 2.

GROUP 1

- | | |
|---------------------------------------------------------------------------------------|--------------------------|
| Birth Certificate or Birth Extract issued by an Australian State or Territory | <input type="checkbox"/> |
| Australian Government issued Citizenship Certificate | <input type="checkbox"/> |
| Current Concession or Health Care Card issued by Centrelink (scan the front and back) | <input type="checkbox"/> |

GROUP 2

- | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| Commonwealth, State or Territory Government Notice issued within the preceding 12 months and recording the provision of financial benefits | <input type="checkbox"/> |
| Australian Taxation Office Notice issued within the preceding 12 months and recording the debt payable to or by the individual by or to (respectively) the ATO | <input type="checkbox"/> |
| Local Government or Utilities Provider Statement issued within the preceding 3 months and recording the provision of services | <input type="checkbox"/> |

If the investor is below the age of 18, please contact us on 1300 113 547.

*Please see the FAQs at the end of this form for the meaning of certified copy.

**If the document is written in a language other than English, it must be accompanied by an English translation prepared by an accredited translator.

3. INVESTMENT & DISTRIBUTION INSTRUCTIONS

Note: We may waive or vary the investment minimums. Please nominate one distribution option for each fund you are investing in. If no selection is made, distributions will be automatically re-invested.

Fund name	APIR	Investment amount AUD\$ (Minimum Investment amount(s) AUD\$20,000)	Distribution option (indicate (X) one option per fund)	
			Pay to my bank a/c	Reinvest
PIMCO Australian Bond Fund - Wholesale Class	ETL0015AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
PIMCO Australian Short-Term Bond Fund - Wholesale Class	ETL0182AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
PIMCO Capital Securities Fund - Wholesale Class	ETL0445AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
PIMCO Diversified Fixed Interest Fund - Wholesale Class	ETL0016AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
PIMCO Dynamic Bond Fund - Wholesale Class	ETL0404AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
PIMCO ESG Global Bond Fund - Wholesale Class	PIC6396AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
PIMCO Global Bond Fund - Wholesale Class	ETL0018AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
PIMCO Global Credit Fund - Wholesale Class	ETL0019AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
PIMCO Income Fund - Wholesale Class	ETL0458AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
PIMCO TRENDS Managed Futures Strategy Fund - Wholesale Class	PIC9659AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please indicate the source & origin of funds being invested.

Savings	<input type="checkbox"/>
Superannuation contributions	<input type="checkbox"/>
Income from employment - regular and/or bonus	<input type="checkbox"/>
Normal course of business	<input type="checkbox"/>
Investment	<input type="checkbox"/>
Donation/gift	<input type="checkbox"/>
Inheritance	<input type="checkbox"/>
Sale of assets (e.g. shares, property)	<input type="checkbox"/>
Other <input type="text"/>	<input type="checkbox"/>

4. PAYMENT OF APPLICATION AMOUNT

Select your payment method and complete the relevant section if applicable. All payments must be made in AUD.

EFT

BPAY®

Cheque

EFT	Electronic Funds Transfer
Account name:	PIMCO Australia Funds Applications Trust Account
BSB:	083-001
Account number:	777 933 790
Your reference:	please use the name of the investor and investor number

BPAY® - Telephone & internet banking (existing PIMCO investors only)

You can make your payment using telephone or internet banking.

You will need to quote the fund biller code and your investor number when making your payment.

Please refer to the table below to find the BPAY® Biller Code for the fund you wish to invest in.

Contact your bank or financial institution to make this payment from your cheque, savings, debit or transaction account.

More info: www.bpay.com.au

®Registered to BPAY Pty Ltd ABN 69 079 137 518

Fund name	BPAY® details
PIMCO Australian Bond Fund - Wholesale Class	Biller code 254029 Reference number: your investor number
PIMCO Australian Short-Term Bond Fund - Wholesale Class	Biller code 254078 Reference number: your investor number
PIMCO Capital Securities Fund - Wholesale Class	Biller code 254136 Reference number: your investor number
PIMCO Diversified Fixed Interest Fund - Wholesale Class	Biller code 254003 Reference number: your investor number
PIMCO Dynamic Bond Fund - Wholesale Class	Biller code 254128 Reference number: your investor number
PIMCO ESG Global Bond Fund - Wholesale Class	Biller code 269316 Reference number: your investor number
PIMCO Global Bond Fund - Wholesale Class	Biller code 250985 Reference number: your investor number
PIMCO Global Credit Fund - Wholesale Class	Biller code 254094 Reference number: your investor number
PIMCO Income Fund - Wholesale Class	Biller code 254144 Reference number: your investor number
PIMCO TRENDS Managed Futures Strategy Fund - Wholesale Class	Biller code 290338 Reference number: your investor number

Cheque

Please make your cheque payable to **PIMCO Australia Funds Applications Trust Account**. Attach the cheque with your original application forms when posting.

Please cross and write 'non-negotiable' on Australian cheques only.

5. FINANCIAL INSTITUTION ACCOUNT DETAILS

Australian bank account details

Please provide your bank account details if you have selected to take your distribution in cash or wish to provide these details for future redemptions. We will only pay cash proceeds to a bank account in the name(s) of the investor(s). We will not make any payments into third party bank accounts.

Financial institution name

Branch name

Name of account holder(s)

BSB number

Account number

Foreign bank account details

Financial institution name

Financial institution address

Account number

Account name

SWIFT/BIC

ABA/FED (US)

IBAN (Europe)

6. COMMUNICATION

Automatic online account access

Online access enables you to view details of your investments (account balance, investment details and account statements). We will send you the necessary registration details by post once your application is processed.

Note: You may receive marketing material (e.g. market commentary, event invitations) from us, from time to time. Please indicate by checking the box below if you do not wish to receive these communications.

Annual report options

The annual statements of the fund are available free on our website. If you would like to receive a copy by post or email, please indicate below. (This refers to annual reports only. This will not affect communication instructions regarding general correspondence for your fund).

By email

By post

Marketing material

You may receive information from us via mail, telephone, email or other electronic messaging service relating to market commentary, services or information that may be of interest to you. By providing us with your contact details you consent to being contacted by these methods for these purposes. Please indicate by checking the box if you do not wish to receive marketing information from us.

7. FINANCIAL ADVISER DETAILS

Have you been advised by a financial adviser in relation to your investment in this PIMCO Fund / these PIMCO Funds?

No - please proceed to **Section 8** (Authorised Representative of investor)

Yes - your financial adviser is required to complete the section indicated below

In general, an appointed financial adviser can do everything you can do with your investment, except appoint another person to operate your account. It is important to tell us promptly if you no longer wish your financial adviser to operate your account, or if your financial adviser changes - Iress will keep accepting their instructions until you or your adviser inform us in writing that the appointment has terminated.

We may suspend or terminate their appointment for any reason considered reasonable, and may change the terms on which they operate your account.

You indemnify us from any loss you or we suffer as a result of the actions of your appointed financial adviser, and agree to ratify their actions if we ask.

THIS SECTION MUST BE COMPLETED BY THE APPLICANT'S FINANCIAL ADVISER.

Financial Adviser Details

Use this section to tell us about your financial adviser. Please note, your financial adviser will be given online access to view your account irrespective of whether you are registered for online access or not.

AFSL holder name

AFSL number

Adviser name

Advisor code or Authorised Representative number

ABN

Property/building name

Unit

Street number

Street name

Suburb

State

Postcode

Country

Phone

Mobile

Adviser email address

Notice to financial adviser: by completing this section of the application form, you are taken to be representing and warranting to PIMCO that you hold a current Australian Financial Services Licence (AFSL), or are otherwise authorised to advise on and arrange the product(s) the subject of the application(s) being made via this form. If you change your financial adviser group it is important that you let us know in a timely way.

I hold an AFSL in my own name or have been appointed as an Authorised Representative by the licensee.

I am - or, if applicable, the AFS licensee that has appointed me as its authorised representative is - a reporting entity for AML/CTF purposes.

AFSL full legal entity name

AFSL number

Please print full name

Signature

Notice to Financial Adviser: please note that reliance on the KYC performed by the financial advisor is only acceptable if all the criteria below are met.

8. AUTHORISED REPRESENTATIVE OF INVESTOR

Please complete this section if you wish to appoint an individual or individuals to act on your behalf in relation to your investment in the fund. If you have appointed an entity as your Authorised Representative, please contact us on 1300 113 547 to obtain the relevant KYC form.

8.1 Authorised Representative details

Authorised Representative 1

Title	Given name(s)	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Authorised Representative's phone number

Email

Authorised Representative's signature

Authorised Representative 2

Title	Given name(s)	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Authorised Representative's phone number

Email

Authorised Representative's signature

If you wish to appoint more than two Authorised Representatives, please complete the details on a separate sheet and attach to this application form.

8.2 How Authorised Representatives may act in relation to the account?

Tick applicable

Each Authorised Representative listed above may provide instructions in relation to the investment individually without the consent of the other

All Authorised Representatives must act jointly to provide instructions in relation to the investment

Other arrangement - please provide details

8.3 Verification procedure for Authorised Representatives who are individuals

For each Authorised Representative, please provide verification documents in accordance with the verification procedure in **section 2**. In addition, please provide evidence of each Authorised Representative's authority to act on behalf of the investor. Please tick the document(s) you have provided.

Verification documents - mandatory

A certified copy of ID as per **section 2**

Authorised Representative's authority - one of the following (not required for a Financial Adviser listed in **Section 7**)

Certified copy of the authorising document (e.g. POA); or

A certified copy of a guardianship order; or

Other arrangement - please provide details

I confirm that the document authorising each Authorised Representative is still valid and has not been revoked.

9. GLOBAL TAX REPORTING REQUIREMENTS (FATCA/CRS)

Why you need to complete this section?

The Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS) are regulatory requirements that aim to deter tax evasion by US and other foreign taxpayers. The Australian and a number of other foreign Governments have an agreement which requires us to obtain certain information from investors, including taxation information. You may be liable to a penalty if you provide information that is false or misleading that is material. We may decide not to open an account without first receiving the required information. For more information, visit www.ato.gov.au.

If you are unsure of any of the answers, please contact a legal or accounting professional.

9.1 Tax Residence - Individual/Sole Trader

HELP

Tell me about tax residence

You can be a resident of more than one country for tax purposes. Whether you are tax resident of a particular country for tax purposes is often based on the amount of time you spend in a country and the location of your residence and/or place of work. If you pay tax or have a tax liability somewhere, you are probably a tax resident there. Dual citizenship often brings dual tax residency. It depends on the country. For the US, tax residency can be as a result of citizenship or residency for tax purposes.

If you're unsure, ask someone who knows, usually your accountant.

9.1.1 Individual - Investor 1

Are you a US resident for tax purposes?

No

Yes - please tell us your TIN.

HELP

What is a TIN?

This is short for Taxpayer Identification Number, an identification number issued or used by tax authorities. In Australia, the equivalent is the tax file number (TFN). For the US, it could for example be a US Social Security Number, a US Individual Taxpayer Identification Number or a US Employer Identification Number. In other countries, it may have a different name.

Are you a resident of any other country for tax purposes?

Other than the US or Australia

No

Yes - please tell us which ones, using the following table.

HELP

No TIN? Reasons we accept are:

Reason A: The country of tax residency does not issue TINs to its tax residents

Reason B: The entity/individual has not been issued with a TIN

Reason C: The country of tax residency does not require the TIN to be disclosed

	Country or jurisdiction of tax residency	TIN	No TIN? Which reason? If Reason B has been selected please provide an explanation. See above HELP box.
1	<input type="text"/>	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>	<input type="text"/>
4	<input type="text"/>	<input type="text"/>	<input type="text"/>

9.1.2 Individual - Investor 2

Are you a US resident for tax purposes?

No Yes - please tell us your TIN.

HELP

What is a TIN?

This is short for Taxpayer Identification Number, an identification number issued or used by tax authorities. In Australia, the equivalent is the tax file number (TFN). For the US, it could for example be a US Social Security Number, a US Individual Taxpayer Identification Number or a US Employer Identification Number. In other countries, it may have a different name.

Are you a resident of any other country for tax purposes?

Other than the US or Australia

No
 Yes - please tell us which ones, using the following table.

HELP

No TIN? Reasons we accept are:

Reason A: The country of tax residency does not issue TINs to its tax residents

Reason B: The entity/individual has not been issued with a TIN

Reason C: The country of tax residency does not require the TIN to be disclosed

	Country or jurisdiction of tax residency	TIN	No TIN? Which reason? If Reason B has been selected please provide an explanation. See above HELP box.
1	<input type="text"/>	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>	<input type="text"/>
4	<input type="text"/>	<input type="text"/>	<input type="text"/>

10. DECLARATIONS & ACKNOWLEDGMENTS

Please read the declarations below before signing this form. The required signature(s) are detailed at the bottom of this form.

When you apply to invest, you (the applicant) are telling us:

- to the best of your knowledge, all details in this application (including all related documents provided) are true, correct and complete
- you have received, read and understood the current PDS. You agree to be bound by the constitution of the fund, the PDS as supplemented, replaced or re-issued from time to time
- you are not bankrupt or a minor, and are authorised to sign this form
- you have received and accepted this offer in Australia
- you have read and understood the information relating to privacy in the PDS
- I consent to the issuer disclosing my personal information to any issuer's service providers, in relation to any identification and verification that the issuer is required to undertake on me, as required under the AML/CTF Act. This shall include any information:
 - o required by any third party document verification service provider, and/or
 - o provided to any third party document verification service provider.

By applying to invest you also acknowledge that:

- I/We acknowledge that PIMCO may make certain investor information available by electronic or digital means. I/We consent to receiving investor information including confirmations of transactions and additional information as applicable either via the email address provided or if the investor information is available digitally, I/we consent to receiving notification of where to access the investor information including confirmations of transactions and additional information as applicable via the email address or mobile number provided
- I/We hereby acknowledge and agree that PIMCO has outlined in the PDS provided to me/us how and where I/we can obtain a copy of PIMCO's Privacy Policy. I/We consent to my/our personal information being collected, held, used and disclosed in accordance with PIMCO's Privacy Policy
- I/We acknowledge that PIMCO may be required to disclose my/our personal information to external third parties. I/We hereby consent to the disclosure of any of my/our personal information to external third parties including but not limited to the administrator, the custodian, the registry provider, their related bodies corporate who may be located outside Australia and to government agencies (if required by law) for the purpose of administering the Fund(s) in which I/we have chosen to invest
- If I/we have completed **section 7**. Financial Adviser details on the Application Form then I/we:
 - o consent to PIMCO disclosing information about my investments to my/our Financial Adviser and their dealer group. Where my/our Financial Adviser no longer acts on my/our behalf, I/we will notify PIMCO of the change; and
 - o understand that my/our Financial Adviser cannot make any changes to my account (including transacting or redeeming from my account) without my authority.
- I am/We are not prohibited or restricted by an applicable securities or other laws or regulations from applying, holding or withdrawing any units in the Fund or from receiving the PDS and this Application Form
- I/We hereby acknowledge that I/we do not become a unit holder in the Fund in which I/we have chosen to invest until this Application is accepted by PIMCO and I/We are on the register of the Fund
- If this is a joint application each of us agrees that our investment is held as joint tenants
- I/We acknowledge and agree that where PIMCO, in its sole discretion, determines that if:
 - o I/we are or become ineligible to hold units in a Fund including if the investment balance in a Fund falls below the minimum balance amount or I/we have provided misleading information in this Application Form; or
 - o I/we owe any amounts to PIMCO, then I/we appoint PIMCO as my/our Authorised Representative to submit a withdrawal request on my/our behalf in respect of all or part of my/our units, as the case requires, in the Fund.
- I/We am not a "politically exposed" person or organisation for the purpose of any Australian AML Law

- monies deposited are not associated with crime, money laundering and/or financing terrorism. We may decide to delay or refuse any request or transaction, including by suspending the issue or redemption of units. If we are concerned that the request or transaction may breach any obligation of, or cause us to commit or participate in an offence under any AML/CTF and Sanctions Law and FATCA/CRS obligations, we will incur no liability to you if we do so
- we may take other action we reasonably believe is necessary to comply with AML/CTF and Sanctions Law and FATCA/CRS obligations, including disclosing any information held about you to any of our related bodies corporate or service providers whether in Australia or outside Australia, or to any relevant Australian or foreign regulator, and
- we collect additional information about you from time to time, from you or from third parties, for the purposes of satisfying AML/CTF and Sanctions Law and FATCA/CRS obligations, and that any such information may be used and disclosed as described in the privacy policy.

Your privacy

For the purposes of providing products and services to you, PIMCO collects personal information about you (as outlined in the PDS) including from sources such as account applications and other forms, from other written, electronic or verbal correspondence, from your transactions, from your brokerage or financial advisory firm, Financial Adviser or consultant, and/or from information captured on our internet websites.

When you sign this Application Form, you agree that PIMCO can, consistently with the Privacy Act 1988 (Cth) ("Privacy Act"), collect, use and disclose personal information about you for those purposes. In some circumstances, PIMCO may be required by law to collect your personal information, for example under the AML/CTF Act.

In order for PIMCO to provide products and services to you, your personal information may be disclosed to entities located outside Australia, including entities located in Brazil, Canada, Germany, Hong Kong, Italy, Japan, Singapore, Switzerland, United Kingdom and United States of America where your personal information may not receive the level of protection afforded under Australian law.

We take reasonable steps to ensure that any third party we disclose your personal information to is bound by privacy obligations to protect information about you.

Under the Privacy Act, you have rights to request access to, and correction of, personal information PIMCO holds about you. You can find more information about how PIMCO handles and manages your personal information, and about your rights in connection with access, correction and complaints, in PIMCO's Privacy Policy at pimco.com.au. If you would like a copy of PIMCO's Privacy Policy, please contact our Compliance Officer on +61 2 9279 1771 or privacy@au.pimco.com.

Important information

- nothing in this form is advice and 'help' is general guidance only. Seek professional advice to be sure of your answers
- it is a condition of investing that you keep your details (including tax details) with us, up to date. We recommend that you review this tax information form at the end of the financial year and update your details if required. You must contact us when you learn new things about the matters in this form. Failing to update us can have tax and other consequences. You can update us by requesting and completing this form and emailing, faxing or posting it to our Administrator.

By completing and signing this form:

- you represent having read and understood this form
- you represent this form is complete and accurate
- if you have applied for but not received your TIN or GIIN, you undertake to inform us within 30 days of receiving it
- you undertake that if information in this form changes, you will tell us within 30 days
- you declare that to the best of your knowledge and belief the information provided in the Global Tax Reporting section is true and correct
- you agree to notify PIMCO of any changes to your tax residency or that of any beneficial owners or controlling person.

11. SIGNATURE(S)

All applicants must sign.

Signature 1

Signature

Date (DD/MM/YY) / /

Full name

Capacity

Investor 1

Authorised Representative

Signature 3

Signature

Date (DD/MM/YY) / /

Full name

Capacity

Investor 3

Authorised Representative

Signature 2

Signature

Date (DD/MM/YY) / /

Full name

Capacity

Investor 2

Authorised Representative

Signature 4

Signature

Date (DD/MM/YY) / /

Full name

Capacity

Investor 4

Authorised Representative

<p>Translating documents by an accredited translator</p>	<p>In Australia an accredited translator means a professional translator accredited by the National Accreditation Authority for Translators and Interpreters at or above professional level.</p> <ul style="list-style-type: none"> • NAATI (https://www.naati.com.au/) <p>In an overseas country, an accredited translator is a professional translator accredited by a NAATI equivalent authority. For these, escalate to the AML Compliance Officer for assistance.</p>
<p>Getting your copies certified</p>	<p>Any document(s) requiring to be certified for verification purposes must be certified by an eligible person to be a true copy of the original document. Documents must be either certified on all pages or certified on the front page with a clear reference to the number of subsequent pages that are included.</p>
<p>Example of certification</p>	<p>I certify that this is a true and correct copy of the original document</p> <p>Signature of Certifier Name of Certifier Capacity of certifier - e.g. Justice of the Peace Date of certification (DD/MM/YYYY)</p>
<p>List of occupations that can certify (from the Statutory Declaration Regulations 2018)</p>	<ul style="list-style-type: none"> • Architect • Chiropractor • Dentist • Financial Adviser or financial planner • Legal practitioner • Medical practitioner • Midwife • Migration Authorised Representative registered under Division 3 of Part 3 of the Migration Act 1958 • Nurse • Occupational therapist • Optometrist • Patent attorney • Pharmacist • Physiotherapist • Psychologist • Trade marks attorney • Veterinary surgeon.
<p>List of persons who can certify</p>	<ul style="list-style-type: none"> • a person who is enrolled on the roll of the Supreme Court of a State or Territory or the High Court of Australia, as a legal practitioner (however described) • a judge of a court • a magistrate • a chief executive officer of a Commonwealth court • a registrar or deputy registrar of a court • a Justice of the Peace • a notary public (for the purposes of the Statutory Declaration Regulations 2018) • a police officer • an Authorised Representative of the Australian Postal Corporation who is in charge of an office supplying postal services to the public • a permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public • an Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955) • an officer with 2 or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 2018) • a finance company officer with 2 or more continuous years of service with one or more financial companies (for the purposes of the Statutory Declaration Regulations 2018) • an officer with, or Authorised Representative of, a holder of an Australian financial services licence, having 2 or more continuous years of service with one or more licensees • a member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with 2 or more years of continuous membership.