

# Transfer Form – Wholesale Class

## Investor Services

PIMCO Investor Services  
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Web: [pimco.com.au](http://pimco.com.au)

Use this form if you are an existing investor and wish to transfer units to another investor.

**Please complete all sections in block capitals using a black pen. If you make an error while completing this form, do not use correction fluid.**

**Cross out your mistakes and initial your changes.**

### 1. Instructions if you are transferring units to an existing investor.

If you are transferring units to an existing investor, the following needs to be completed:

- complete transfer details in section 1
- transferor needs to write their account number and account name as it appears on the latest periodic or transaction statement in section 2
- transferee needs to write their account number and account name in section 3 as it appears on the latest periodic statement or transaction statement
- both the transferor and transferee need to sign this form as per the 'Signing instructions' in section 5.

### 2. Instructions if you are transferring units to a new investor.

If you are transferring units to a new investor, the transferor needs to complete the following:

- complete transfer details in section 1
- write their account number and account name as it appears on the latest periodic or transaction statement in section 2
- sign this form as per the 'Signing instructions' in section 5. The transferee needs to:
- write their name in section 4 of this form and then sign the form as per the 'signing instructions' in section 5
- complete the fund's application form
- complete the relevant identification document accompanying the application form
- arrange for copies of their identification documents to be certified and
- complete the tax information form accompanying the application form

### 3. Send your documents to us.

Before you submit your transfer form, please check that:

- both the transferor and transferee have signed the transfer form
- the transfer form and application form (if applicable), along with relevant identification form and documents and the tax information form (if applicable) are included. Please note the original application form and relevant identification documents cannot be accepted by fax.

Please post, fax or email your original signed transfer form, original application and original tax information form (if applicable) and original certified copies of the relevant identification documents (if applicable) to us.

Send by Post: PIMCO Funds – Unit Registry  
GPO Box 804  
Melbourne VIC 3001  
Australia

Fax forms to: +61 2 9151 4096

Email forms to: [investorservices.transactions@au.pimco.com](mailto:investorservices.transactions@au.pimco.com)

### 4. Your privacy

For the purposes of providing products and services to you, PIMCO Australia Management Limited ABN 37 611 709 507 AFS Licence No. 487 505 ("PIMCO", "us", "our") collects personal information about you (as outlined in the PDS) including from sources such as account applications and other forms, from other written, electronic or verbal correspondence, from your transactions, from your brokerage or financial advisory firm, financial adviser or consultant, and/ or from information captured on our internet websites.

When you sign this Transfer Form, you agree that PIMCO can, consistently with the Privacy Act 1988 (Cth) ("Privacy Act"), collect, use and disclose personal information about you for those purposes. In some circumstances, PIMCO may be required by law to collect your personal information, for example under the AML/CTF Act.

Your personal information may be disclosed to entities located outside Australia, including entities located in Brazil, Canada, Germany, Hong Kong, Italy, Japan, Singapore, Switzerland, United Kingdom and United States of America where your personal information may not receive the level of protection afforded under Australian law.

We take reasonable steps to ensure that any third party we disclose your personal information to is bound by privacy obligations to protect information about you.

Under the Privacy Act, you have rights to request access to, and correction of, personal information PIMCO holds about you. You can find more information about how PIMCO handles and manages your personal information, and about your rights in connection with access, correction and complaints, in PIMCO's Privacy Policy at [pimco.com.au](http://pimco.com.au). If you would like a copy of PIMCO's Privacy Policy, please contact our Compliance Officer on +61 2 9279 1771 or [privacy@au.pimco.com](mailto:privacy@au.pimco.com).

## 1. Transfer details

Please indicate which fund your transfer relates to.

FUND NAME	APIR	PLEASE COMPLETE ONE COLUMN ONLY		
		\$ Amount to be transferred	No. of units to be transferred	Full transfer (X)
PIMCO Australian Bond Fund – Wholesale Class	ETL0015AU	AUD \$		<input type="checkbox"/>
PIMCO Australian Short-Term Bond Fund – Wholesale Class	ETL0182AU	AUD \$		<input type="checkbox"/>
PIMCO Capital Securities Fund – Wholesale Class	ETL0445AU	AUD\$		<input type="checkbox"/>
PIMCO Diversified Fixed Interest Fund – Wholesale Class	ETL0016AU	AUD \$		<input type="checkbox"/>
PIMCO ESG Global Bond Fund – Wholesale Class	PIC6396AU	AUD\$		<input type="checkbox"/>
PIMCO Global Bond Fund – Wholesale Class	ETL0018AU	AUD \$		<input type="checkbox"/>
PIMCO Global Credit Fund – Wholesale Class	ETL0019AU	AUD \$		<input type="checkbox"/>
PIMCO Income Fund – Wholesale Class	ETL0458AU	AUD\$		<input type="checkbox"/>
PIMCO Dynamic Bond Fund – Wholesale Class	ETL0404AU	AUD \$		<input type="checkbox"/>
PIMCO TRENDS Managed Futures Strategy Fund – Wholesale Class	PIC9659AU	AUD\$		<input type="checkbox"/>

No Change of Beneficial Ownership

## 2. Transferor/seller details

Account Number \_\_\_\_\_

Account name \_\_\_\_\_

## 3. Transferee/buyer - existing investors only

If you are transferring units to an existing investor, please complete the details below.

Account Number \_\_\_\_\_

Account name \_\_\_\_\_

## 4. Transferee/buyer – new investor

If you are transferring units to a new investor, the new investor will also need to complete the 'Application Form – Wholesale Class' which is available at [pimco.com.au](http://pimco.com.au)

### New investor details

Title \_\_\_\_\_ Full given names \_\_\_\_\_

Surname \_\_\_\_\_

Company/Trust/Superannuation fund \_\_\_\_\_

## 5. Signing instructions

By completing and signing this form, you:

- authorise PIMCO to act according with the instructions on this form
- acknowledge that the instructions on this form supersede, and have priority over, all previous instructions received by PIMCO, and
- agree to indemnify PIMCO from and against all losses, costs, expenses, claims, actions or proceedings brought against PIMCO in connection with following your instructions on this form.

Who needs to sign this form

**Individual** – where the investment is in one name, the account holder must sign.

**Joint Holding** – where the investment is in more than one name, all of the account holders must sign.

**Companies** – where the company has a sole director who is also the sole company secretary, this form must be signed by that person. If the company (pursuant to section 204A of the Corporations Act 2001) does not have a company secretary, a sole director can also sign alone. Otherwise this form must be signed by a director jointly with either another director or a company secretary. Please indicate the capacity in which the form is signed

**Trust** – the trustee(s) must sign this form. Trustee(s) signing on behalf of the trust confirm that the trustee(s) is/are acting in accordance with such designated powers and authority under the trust deed.

**Power of Attorney** – if you have not already lodged the Power of Attorney with us, please attach a certified copy of the Power of Attorney document that includes Certificate of Witness and Statement of Acceptance and Certified Identification Document of the Power of Attorney. I/we attest that the Power of Attorney has not been rescinded or revoked and that the Donor is still living.

**Transferor/seller**

Signature of investor 1, director or Attorney \_\_\_\_\_

Please print full name \_\_\_\_\_

Date \_\_\_\_\_

Company officer (please indicate company capacity)

- Director
- Sole director and company secretary

Signature of investor 2, director/company secretary or Attorney \_\_\_\_\_

Please print full name \_\_\_\_\_

Date \_\_\_\_\_

Company officer (please indicate company capacity)

- Director
- Company secretary

**Transferee/buyer**

Signature of investor 1, director or Attorney \_\_\_\_\_

Please print full name \_\_\_\_\_

Date \_\_\_\_\_

Company officer (please indicate company capacity)

- Director
- Sole director and company secretary

Signature of investor 2, director/company secretary or Attorney \_\_\_\_\_

Please print full name \_\_\_\_\_

Date \_\_\_\_\_

Company officer (please indicate company capacity)

- Director
- Company secretary